

03 CO
FILE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov #5

Bib Data Sheet

CONFIRMATION NO. 5232

SERIAL NUMBER 10/041,726	FILING DATE 01/07/2002 RULE	CLASS 707	GROUP ART UNIT 2171	ATTORNEY DOCKET NO. 81754.0069
------------------------------------	---	---------------------	-------------------------------	--

APPLICANTS

Katsumi Okina, Residence Not Provided;

** CONTINUING DATA *****

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 02/06/2002

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING 3	TOTAL CLAIMS 42	INDEPENDENT CLAIMS 6
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

26021

TITLE

Arbiter device for multi-port memory and semiconductor device

FILING FEE RECEIVED 0.00	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

HOGAN & HARTSON P.C.
500 SOUTH GRAND AVENUE
SUITE 1900
LOS ANGELES, CA 90071

Tel.: (213) 337-6700
Fax: (213) 337-6701

WASHINGTON, DC
BERLIN
BRUSSELS
LONDON
PARIS
BUDAPEST
PRAGUE
WARSAW
MOSCOW
TOKYO
NEW YORK, NY
BALTIMORE, MD
McLEAN, VA
MIAMI, FL
DENVER, CO
BOULDER, CO
COLORADO SPRINGS, CO

3692 U.S. PTO
10/041726



IMPORTANT NOTICE
TELECOPY/FACSIMILE COVER LETTER

TO: Customer Service
U.S.P.T.O.

DATE: 2/27/2002

FROM: Ms. Shindale Ferguson

TIME: 12:29 PM

TOTAL NO. OF PAGES, INCLUDING COVER: 4

The attached information is **CONFIDENTIAL** and is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient(s) or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any dissemination, distribution or copying of this communication is strictly prohibited. Anyone who receives this communication in error should notify us immediately by telephone and return the original message to us at the above address via the U.S. Mail.

MESSAGE:

Pursuant to my conversation with a Customer Service Representative regarding serial number 10/041,726, please find attached the following documents:

1. Official Filing Receipt with filing date of 01/08/02
2. Express Mail Label with mail date of 01/07/02

The application for serial number 10/041,726 was filed January 7, 2002 in the United States Patent and Trademark Office. Due to an error on the part of the U.S.P.T.O., the filing date was entered as January 8, 2002. Please correct the filing date on the filing receipt and forward it to our office.

Also, please note that our office received the return postcard for the above-identified application with the serial number of 10/041,174 listed. A Priority Document was filed under the serial number 10/041,174, however it must be moved to the serial number 10/041,726. Thanks.

FOR INTERNAL PURPOSES ONLY

TELECOPY/FAX NUMBER: 703-308-7751

CLIENT NUMBER: 81754.0069

ATTORNEY BILLING NUMBER: _____

CONFIRMATION NUMBER: 213-337-6881



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/041,726	01/08/2002	2171	0.00	81754.0069	3	42	6

CONFIRMATION NO. 5232

26021
HOGAN & HARTSON L.L.P.
500 S. GRAND AVENUE
SUITE 1900
LOS ANGELES, CA 90071-2611

FILING RECEIPT



OC000000007440832

Date Mailed: 02/07/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Katsumi Okina, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/06/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Arbiter device for multi-port memory and semiconductor device

Preliminary Class

707

JC0972 U.S. PRO
10/041726
01/07/02

RECEIVED

FEB 25 2002

DOCKETING

ENTERED

FEB 25 2002

LICENSE FOR FOREIGN FILING UNDER
INTOMDC

**Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

jc072 U.S. PRO
10/041726
01/07/02

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

POST OFFICE TO ADDRESSEE



EL713632288US

EL713632288US

ORIGIN (POSTAL USE ONLY)

PO ZIP Code (90071)	Day of Delivery Next <input type="checkbox"/> Second <input checked="" type="checkbox"/>	Flat Rate Envelope <input type="checkbox"/>
Date Mkt (1/27/02)	12 Noon <input type="checkbox"/> 3 PM <input checked="" type="checkbox"/>	Postage \$ 12.45
Time (4:12 PM)	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day <input type="checkbox"/>	Return Receipt Fee
Weight (6.7 lbs)	Int'l Alpha Country Code	GOD Fee <input checked="" type="checkbox"/> Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday <input type="checkbox"/>	Acceptance Clerk Initials (H)	Total Postage & Fees \$ 13.47

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE

Customer Copy
Label 11-F July 1997

CUSTOMER USE ONLY

METHOD OF PAYMENT:

Express Mail Corporate Acct. No.

Federal Agency Acct. No. or
Postal Service Acct. No.

☐ WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if number of signatures is requested.
I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.
NO DELIVERY ☐ Weekend ☐ Holiday

FROM: (PLEASE PRINT)

HOGAN & HARTSON
500 S GRAND AVE STE 1900
LOS ANGELES CA 90071-2611

SE 81754-0069 LM/gc

TO: (PLEASE PRINT)

Box Parent Application
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

PRESS HARD.

You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov



JC072 U.S. PTO
10/041726

